



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Edmark et al.**

Serial No.: **09/923,574**

Filed: **August 7, 2001**

For: **Method and Apparatus for Detecting
Improper Intrusions from a Network
into Information Systems**

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Group Art Unit: **2152**

Examiner: **Unknown**

Attorney Docket No.: **AUS920010185US1**

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Hon. Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

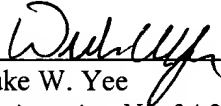
Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) before the mailing date of a first office action on the merits or each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. No fee is required.

Date: March 3, 2003

Respectfully submitted,



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Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)		ATTORNEY DOCKET NO. AUS920010185US1		SERIAL NO. 09/923,574	
		APPLICANT Edmark et al.			
		FILING DATE August 7, 2001		GROUP ART UNIT 2152	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 5,826,014	Oct. 20, 1998	Coley et al.	395/187.01	Feb. 6, 1996
	AB 6,233,618 B1	May 15, 2001	Shannon	709/229	Mar. 31, 1998
FOREIGN PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	AC WO 00/34867	Jun. 15, 2000	WIPO	G06F 11/30	X
OTHER PRIOR ART (including author, title, date, pertinent page, etc.)					
	AD	Doty, "A Firewall Overview", Connexions, Vol. 9, No. 7, July 1995, pp. 20-23.			
	AE	Hubbard et al., "Firewalling the Net", BT Technology Journal, BT Laboratories, GB, Vol. 15, No. 2, April 1997, pp. 94-106.			
RELATED PATENT APPLICATIONS					
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE	
DATE CONSIDERED		EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					